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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01199A

First Inventor Joseph David Tanzer

Title Method of Improving Viscosity Stability Upon  
Addition of a Colorant Component

Express Mail Label No. \_\_\_\_\_

10/25/01

10/26/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification [Total Pages 19]  
(preferred arrangement set forth below)  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table,  
 or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (if filed)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets \_\_\_\_\_]

5. Oath or Declaration [ Total Pages \_\_\_\_\_]

- a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)

i.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR  
 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- a.  Computer Readable Form (CRF)

- b. Specification Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or

- ii.  paper

- c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of  
(when there is an assignee)  Attorney

11.  English Translation Document (if applicable)

12.  Information Disclosure  Copies of IDS  
Statement (IDS)/PTO-1449  Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent. Request for Late Filing

17.  Other: \_\_\_\_\_ of Declaration

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group Art Unit, \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

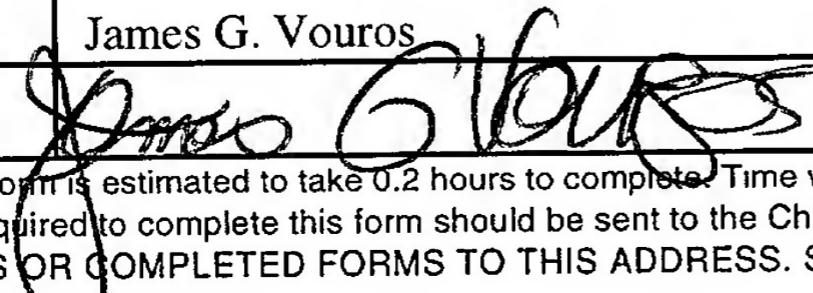
**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	 (insert Customer Number or Bar Code Label here)		<input type="checkbox"/> or <input type="checkbox"/> Correspondence address below
Name	James G. Vouros 21898		
Address	ROHM AND HAAS COMPANY		
	100 Independence Mall West		
City	Philadelphia	State	PA
Country	USA	Telephone	215-592-2564
Registration No. (Attorney/Agent)	34,453		

Name (Print/Type)

James G. Vouros

Signature



Date 12/21/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

DN A01199A

GDG:am

In re application of:  
Joseph David Tanzer et al

Serial No.: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Method of Improving Viscosity Stability Upon  
Addition of a Colorant Component

Honorable Commission of Patents and Trademarks  
Washington, DC 20231

**REQUEST FOR LATE FILING OF DECLARATION**

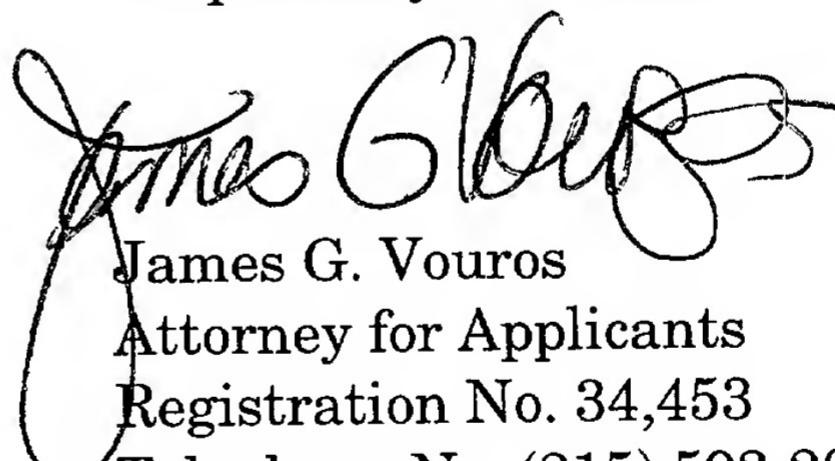
The undersigned attorney for applicants requests that a filing date be granted for the accompanying above-identified application which is not accompanied by an oath or declaration by the inventors, and that said oath or declaration be accepted upon delayed filing, according to 37 CFR 1.53(d).

12/27/2001 MDAMTE1 00000038 10025706

03 FC:105

130.00 CH

Respectfully submitted,

  
James G. Vouros  
Attorney for Applicants  
Registration No. 34,453  
Telephone No. (215) 592-2015

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
December 21, 2001

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$ 1150)

*Complete if Known*

Application Number	
Filing Date	Herewith
First Named Inventor	Joseph David Tanzer
Examiner Name	
Group Art Unit	
Attorney Docket No.	A01199A

**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **18-1850**Deposit Account Name **Rohm and Haas Company**

- Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.  
See 37 CFR 1.27

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	130
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
• Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$ 130)

SUBTOTAL (1) (\$ 740)

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims independent	16	-20** = 0 X _____ = 0	
Claims Multiple Dependent	2	- 3* = 0 X _____ = 0	
			280

## Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 280)

\*\*or number previously paid, if greater; For Reissues, see above

Complete (if applicable)

SUBMITTED BY	James G. Vouros	Registration No (Attorney/Agent)	34,453	Telephone	215-592-2564
Signature	<i>James G. Vouros</i>			Date	12/21/01

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